1	UNIVERSITY OF OREGON COUNTERPROPOSAL (11/13/2024)
2	UNITED ACADEMICS COUNTERPROPOSAL (10/31/2024)
3	UNIVERSITY OF OREGON COUNTERPROPOSAL (10/17/2024)
4	UNITED ACADEMICS COUNTERPROPOSAL (09/26/2024)
5	UNIVERSITY OF OREGON COUNTERPROPOSAL (8/26/2024)
6	UNITED ACADEMICS COUNTERPROPOSAL (8/13/2024)
7	UNIVERSITY OF OREGON COUNTERPROPOSAL (7/15/2024)
8	UNITED ACADEMICS COUNTERPROPOSAL (5/2/2024)
9	UNIVERSITY OF OREGON COUNTERPROPOSAL (4/18/2024)
10	UNITED ACADEMICS COUNTERPROPOSAL (4/4/2024)
11	UNIVERSITY OF OREGON COUNTERPROPOSAL (2/29/2024)
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17	ARTICLE 19. CAREER FACULTY REVIEW AND PROMOTION
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19	Preamble. Career appointments are either Career instructional or Career research for the
20	purposes of this Article.
21	Conservation of formation of the service in the Conservation in the
22	Career instructional faculty are those with appointments in the Career classification in the
23	following categories: Instructor, Lecturer, Librarian, Clinical Professor (when an instructional
24	appointment), Professor of Practice, and Teaching Professor.
25	
26	Career research faculty are those with appointments in the Career classification in the following
27	categories: Research Professor, Clinical Professor (when a research appointment), Research
28	Assistant, Research Associate, Research Scientist, Research Engineer, and Principal Research
29	Scientist.
30	
31	Career Faculty Review and Promotion
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33	Section 1. Reviews for Career faculty will include reviews associated with performance,
34	promotion, and continuous employment. A performance review will not be required in the year a
35	bargaining unit faculty member has a promotion or continuous employment review.
36	
37	Section 2. Policies and Procedures. Each department or unit that employs Career faculty will
38	maintain unit-level policies for Career faculty review and promotion in accordance with Article
39	4.
40	
41	
42	Career Faculty Performance Reviews
43	
44	Section 3. Performance Reviews. Performance reviews for Career faculty are for the purpose of
45	determining if the faculty member is meeting the standard of excellence appropriate to a Career
46	instructional or Career research faculty member at an AAU institution based on their job duties.
47	Performance reviews should be designed to help Career faculty members grow as educators,

48 49 50 51 52 53 54 55 56 57 58 59 60	improv approp P-perfo descrip of collid date as resource previou service member their pr	rs, and researchers, as appropriate; identify areas of strength; and identify areas that need vement associated with their position. Career performance reviews should include a stage- riate assessment of the likelihood of success in a subsequent promotion review. As part of ormance reviews, also allow supervisors of Career faculty members with a position of should take the opportunity to consult to shall consult with as needed or at the request aborate those Career faculty members on keeping to keep the position description up to an accurate reflection of the position. Performance reviews shall consider any lack of ces necessary to the performance of professional responsibilities that were identified in us workload discussions (Article 17, Section 3). account for any lack of support and services to perform the University the material support and services to perform rofessional responsibilities in performance review and promotion processes.	
61			
62	Section	n 4. Performance Review Timing.	
63			
64	a.	Career instructional faculty will have a performance review each year for the first three	
65		years of their employment and at least once every three years thereafter (academic years	
66		for 9-month appointments and fiscal years for 12-month appointments). The three-year	
67		schedule is reset after a successful promotion or continuous employment review.	
68	h	Concerns to contract the will have a northern on any investment of the will also some as	
69 70	D.	Career research faculty will have a performance review annually, which will also serve as the basis for distributions if a marit need to in Article 26	
70 71		the basis for distributions if a merit pool is agreed to in Article 26.	
72	c	Performance reviews may take place out of cycle when a department or unit head has	
73	υ.	identified or become aware of performance problems. The department or unit head shall	
74		meet with the Career faculty member to discuss areas of concern and evaluate whether a	
75		formal out-of-cycle performance review or performance improvement plan (Section 6.e)	
76		is warranted. Nothing in this Article changes the process for addressing poor performance	
77		for funding-contingent faculty set forth in Article 16, Section 18.	
78		Tot funding contingent fuculty set forth in Findere 16, Section 16.	
79	Section	n 5. Performance Review Period and Criteria. Reviews will consider the Career	
80		ning unit faculty member's performance since their last review. Career faculty members	
81	will be evaluated only by the criteria approved and made available to them. If the criteria have		
82	changed since their previous review, the faculty member must choose either the earlier or current		
83	set of c	criteria.	
84			
85	Section	n 6. Performance Review Process.	
86			
87	a.	As part of each performance review, a Career faculty member will have an opportunity to	
88		submit a brief personal statement (no more than three pages) containing information	
89		relevant to their performance of assigned duties and responsibilities.	
90			
91	b.	The review process will include an opportunity for the Career faculty member to discuss	
92		their efforts, performance, and goals or improvement opportunities with an appropriate	
93		supervisor, department, or unit head at least once during each review period.	

94 95 96	c.	Performance reviews must include a determination whether the Career faculty member meets , exceeds or does not meet expectations in each of their assigned duties. as follows:		
97 98 99		[We are postponing discussion on this provision until we come to an agreement regarding merit review provision]		
100				
101		i. When the review is used in conjunction with a salary determination (i.e., merit),		
102 103		the performance review must include a determination whether of if the Career faculty member meets, exceeds, or does not meet expectations in each of their		
103		assigned duties.		
105				
106		ii. When the review is not in conjunction with a salary determination, the		
107		performance review must include a determination whether of if the Career faculty member meets, exceeds, or does not meet expectations in each of their assigned		
108 109		duties. If any salary increases not contemplated at the time of the performance		
110		review require a differentiation between meets and exceeds expectations, the		
111		determination will default to exceeds expectations.		
112	1			
113 114	d.	The supervisor, department, or unit head will summarize, in writing, any committee or peer review along with their own assessment and will communicate the results of the		
114		review and provide a copy of their summary in writing to the bargaining unit faculty		
116		member. The faculty member will have 10 days from the date of the receipt of the report		
117		to provide a response, which shall be appended to the completed performance review.		
118		If the determination of the norfermance mation is that the Concer faculty member does not		
119 120	e.	If the determination of the performance review is that the Career faculty member does not meet expectations in one or more of their assigned duties, the supervisor, department, or		
121		unit head will meet with the faculty member to discuss a performance improvement plan,		
122		which will include written documentation of the areas for improvement, instructions to		
123		meet expectations in those areas, a timeline to carry out those instructions, and an explicit		
124 125		timeframe for assessing progress. The performance improvement plan will be signed by the supervisor, faculty member, and vice president, vice provost, dean, or director.		
125		the supervisor, faculty memoer, and vice president, vice provost, dean, or director.		
127		If that follow-up progress assessment, which may be a performance review, determines		
128		that the Career faculty member still does not meet expectations in one or more of the		
129		assigned duties previously identified as areas of concern, that Career faculty member may		
130 131		be subject to layoff (Article 16, Section 12.a.).		
132				
133	Career Promotion Reviews			
134	Convert Converting Design Consideration			
135 136	General Career Promotion Review Considerations			
137	Sectio	n 7. Accelerated Review. An accelerated promotion review may occur in particularly		
138	meritorious cases as determined by the Office of the Provost in consultation with the appropriate			
139	vice president, vice provost, dean, department or unit head, and the bargaining unit faculty			

member. 140

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Section 8. Credit for Prior Service. Bargaining unit faculty members reclassified or hired into 142 Career positions from Pro Tem positions shall receive an assessment of credit towards promotion 143 for work of a comparable character by the Office of the Provost when such. all faculty 144 employment was at 0.5 annualized FTE or greater, and provided the faculty member y have met 145 expectations when reviewed. Bargaining unit faculty members at 0.5 annualized FTE or greater 146 who have met expectations in the pro tem position at their most recent review reclassified from 147 Pro Tem to Career positions may shall receive credit towards promotion when appropriate. to 148 the same extent that they would have received credit had they been classified as Career faculty. 149 for the number of years employed as Pro Tem faculty. When a bargaining unit faculty member 150 receives credit for prior service is agreed upon, the terms of hire or reclassification will state the 151 number of years of credit granted for comparable work, specific review considerations, and the 152 earliest date for promotion eligibility. Bargaining unit faculty members reclassified from Pro 153 Tem to Career positions will receive credit towards promotion for the number of years employed 154 as Pro Tem faculty. 155 156 Section 9. Reapplication for Promotion. An unsuccessful candidate for promotion may 157 continue employment at their current rank as long as eligible to do so under this Agreement. 158 159 Career faculty bargaining unit members who are denied promotion may reapply for promotion after having been employed by the university for an additional three years or longer at an average 160 of 0.5 annualized FTE per year, accrued at no greater than three terms per academic year for 161 bargaining unit faculty on 9-month appointments, and at four terms per year for bargaining unit 162 faculty on 12-month appointments. 163 164 Section 10. Appeal of Promotion Denial. Faculty who are denied promotion may appeal the 165 decision through the procedures in Article 21, Tenure and Promotion Denial Appeal. 166 167 Section 11. Withdrawal of Application. A candidate may withdraw an application for 168 promotion in writing to the Provost and the dean at any time before the Provost's decision. 169 170 171 172 **Career Instructional Promotion Reviews** 173 Section 12. Eligibility for Promotion. Career instructional faculty may elect to initiate the 174 promotion process when eligible to do so. Career faculty members will be eligible for promotion 175 176 after accumulating six years of employment less any credit for prior service granted (Section 8) as a faculty member at or above an average of 0.5 annualized FTE over the six years, accrued at 177 178 no greater than three terms per academic year for bargaining unit faculty with 9-month appointments, and at four terms per year for bargaining unit faculty with 12-month 179 180 appointments. The six years of employment do not have to be consecutive. 181 a. Career instructional faculty members who will have completed five years of employment 182 as a Career faculty member at or above 0.5 annualized FTE per year may initiate the 183 promotion process in the Spring term of the fifth year if they have an expected 184 appointment of 0.5 annualized FTE or greater for the sixth year. 185

186 b. Career instructional faculty members who have completed more than five years of 187 employment as a Career faculty member at or above 0.5 annualized FTE per year may 188 initiate the promotion process in the Spring term of any year. 189 190 c. Cases involving positions or terms of service below 0.5 FTE may be considered for 191 promotion by the Office of the Provost in accordance with the principles set forth in this 192 Article. 193 194 195 d. Career instructional faculty members who have achieved promotion must wait at least five six-years before initiating the promotion process again (for a promotion review in the 196 subsequent year), regardless of the number of accumulated years of employment. 197 198 Section 13. Review Period and Election of Criteria. The promotion review period for a Career 199 200 instructional bargaining unit faculty member will be the time in their current classification and rank, with emphasis on the six most recent years. 201 202 203 The bargaining unit faculty member will be reviewed for promotion relative to the criteria in effect when the review period began. If the criteria have changed since their hire or previous 204 review, the faculty member must choose either the earlier or current set of criteria. 205 206 207 Section 14. Initiating the Promotion Process. Career instructional faculty wishing to be considered for promotion should notify the appropriate department or unit head in the Spring 208 209 term prior to the year when promotion is sought, and must provide the following materials by 210 Fall of the review year: 211 • **Curriculum vitae:** A comprehensive and current curriculum vitae that includes the 212 bargaining unit faculty member's current teaching, professional development, research, 213 scholarly, and creative activities and accomplishments, including publications, 214 appointments, presentations, and similar activities and accomplishments as applicable. 215 216 **Personal statement:** A 2–6-page personal statement developed by the bargaining unit 217 faculty member evaluating their performance measured against the applicable criteria for 218 219 promotion. The personal statement should expressly address the subjects of teaching; scholarship, research and creative activity, as applicable; and service contributions to the 220 academic department, center or institute, school or college, university, profession, and the 221 community. The statement should also include discussion of contributions to diversity, 222 equity, and inclusion. 223 224 **Teaching portfolio (if applicable):** Representative examples of course syllabi or 225 equivalent descriptions of course content and instructional expectations for courses taught 226 by the bargaining unit faculty member, examples of student work and exams, and similar 227 material; information from student experience surveys, which will be considered in light 228 of the response rate; information on the development of new courses and curriculum 229 development; information on contributions to university-wide teaching practices (if 230 applicable). 231

232 Scholarship portfolio (if applicable): A comprehensive portfolio of scholarship, 233 • research, and creative activity; and appropriate evidence of national or international 234 recognition or impact. 235 236 Service portfolio (if applicable): Evidence of the bargaining unit faculty member's 237 service contributions to their academic department, center or institute, school or college, 238 university, profession, and the community, such as op ed pieces, white papers authored or 239 co-authored by the faculty member, commendations, awards, or letters of appreciation. 240 The service portfolio may also include a short narrative elaborating on the faculty 241 member's unique service experiences or obligations. 242 243 **Professional development statement (if applicable):** A statement that provides a short 244 narrative elaborating on the professional development activities of the bargaining unit 245 246 faculty member related to their job duties. 247 • **Professional activities portfolio (if applicable):** A comprehensive portfolio of 248 249 professional or consulting activities related to their discipline. 250 • Internal and/or external reviewers (if applicable): A list of qualified internal and/or 251 252 external reviewers provided by the bargaining unit faculty member. 253 Section 15. Waiver of Access to Materials. Bargaining unit faculty members may choose to 254 255 waive in advance in writing their access to see any or all of the evaluative materials. Such 256 waivers, however, shall not preclude the use of redacted versions of these documents in a denial review process. The redacted versions are intended to protect the identity of the reviewer. If 257 258 redactions are insufficient to do so, the University may prepare a suitable summary. A waiver will be included in the promotion file. 259 260 Section 16. Notice of Meetings. A bargaining unit faculty member will receive at least three 261 business days' notice of any meeting or hearing which the member is invited or required to 262 attend, with a dean, vice provost, or the Office of the Provost regarding recommendations or 263 decisions on promotion. The bargaining unit faculty member may have a colleague or Union 264 265 representative present at the meeting as an observer. 266 267 Section 17. Evaluation file. The promotion review file should generally include the following 268 information: 269 Statement of duties and responsibilities 270 • • Curriculum vitae 271 • Conditions of appointment 272 Criteria for promotion 273 • Personal statement 274 • 275 • Supervisors' letters of evaluation Professional activities portfolio (if applicable) 276 Teaching portfolio (if applicable) 277 •

- Scholarship portfolio (if applicable) 278 • Service portfolio (if applicable) 279 Professional development statement (if applicable) 280 Internal and/or external reviews (if applicable) 281 • Department or unit committee recommendation • 282 Department, unit, center, or institute head's recommendation (if applicable) 283 • Vice president's, dean's, or director's recommendation 284 • Waiver of access to materials (if applicable) 285 286 Section 18. Review by Department or Unit. The department or unit head or designee should 287 288 solicit any internal and/or external reviews, as applicable. A department or unit committee will 289 review the file and make a recommendation to the department or unit head. The department or 290 unit head will then prepare an explanation of the merits of the promotion case and a recommendation on the case. The report will include the department or unit-level promotion 291 292 committee report and recommendation and a voting summary, and the department or unit head's own independent recommendation. The file will then be sent to the appropriate vice president, 293 294 vice provost, dean, or director for review. 295 Section 19. Review by Vice President, Vice Provost, Dean, or Director. The vice president, 296 vice provost, dean, or director, as appropriate, will review the file, and may consult with 297 appropriate persons and may ask for and document additional non-confidential information. 298 Once the vice president, vice provost, Provost, dean, or director deems the file complete, they 299 300 will prepare a separate memorandum and recommendation. 301 302 The vice president, vice provost, Provost, dean, or director will share their memorandum and recommendation with the candidate and notify the candidate that they may provide responsive 303 material for the file within 10 days from the date of receipt of the memorandum; this response 304 305 shall be included in the evaluation file. If the assessment of the dean or vice provost above differs from that of the department or unit head or the school- or college-level personnel 306 committee, they will provide an explanation of the reasons underlying their judgment. The vice 307 308 president, vice provost, dean, or director then will submit the complete evaluation file to the Office of the Provost. 309 310 Section 20. Review by the Office of the Provost. By June 1, the Office of the Provost will 311 review the file and decide whether to grant or deny promotion. The candidate will be notified of 312 the decision in writing. If the Office of the Provost decides the review is unsuccessful, the 313 decision letter will contain an explanation of the reasons underlying their decision and any 314 determinations that differ from the previous review levels. In the case of a decision to deny 315 promotion that is contrary to a recommendation of a vice president, vice provost, dean, or 316 director, the decision letter of the Office of the Provost shall include a detailed explanation of 317 reasons for rejecting the recommendation, which shall identify any assessments of merit and 318 representations of fact contained in the recommendation with which the Office of the Provost 319 disagrees. 320 321
- 322 Section 21. Assumption of New Rank. Successful candidates for promotion will assume their 323 new rank beginning with the next academic or fiscal year or the nearest next term of employment

- 324 should their appointment not begin with fall term.
- 325 326
- 327 **Career Research Promotion Reviews**
- 328

- Section 22. Eligibility for Promotion. Career research faculty may elect to initiate the 329 promotion process when eligible to do so. Career faculty members will be eligible for promotion 330 after accumulating six years of employment as a Career faculty member less any credit for prior 331 service granted (Section 8) at or above an average of 0.5 annualized FTE over six years. The six 332 years of employment do not have to be consecutive. 333
- 334

Career research faculty members who have achieved promotion must wait at least five six-years 335 before initiating the promotion process again (for a promotion review in the subsequent year), 336

- 337 regardless of the number of accumulated years of employment.
- 338
- 339 Section 23. Review Period and Election of Criteria. The promotion review period for a Career research bargaining unit faculty member will be the time in their current classification and rank. 340 341
- 342 The bargaining unit faculty member will be reviewed for promotion relative to the criteria in
- effect when the review period began. If the criteria have changed since their hire or previous 343
- review, the faculty member must choose either the earlier or current set of criteria. 344 345
- Section 24. Initiating the Promotion Process for Career Research Faculty. Candidates 346 wishing to be considered for promotion should notify the appropriate department or unit head in 347 the Spring term prior to the year when promotion is sought, and must provide the following 348 materials by Fall of the review year: 349
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- **Curriculum vitae or resume:** A comprehensive and current curriculum vitae or resume • that includes the bargaining unit faculty member's current research, scholarly, and creative activities and accomplishments, including publications, appointments, presentations, and similar activities and accomplishments, as applicable.
- 356 • **Personal statement:** A 2-6-page personal statement developed by the bargaining unit faculty member evaluating their performance measured against the applicable criteria for 357 promotion. The personal statement should expressly address their impact and 358 contribution to research excellence relative to their job duties. This statement should also 359 include discussion of contributions to diversity, equity, and inclusion. 360
- 362 Scholarship portfolio (if applicable): A comprehensive portfolio of scholarship, research, and creative activity; and appropriate evidence of national or international 363 recognition or impact. 364
- Service portfolio (if applicable): Evidence of the bargaining unit faculty member's 366 service contributions to their academic department, center or institute, school or college, 367 university, profession, and the community, such as op ed pieces, white papers authored or 368 co-authored by the faculty member, commendations, awards, or letters of appreciation. 369

- The portfolio may also include a short narrative elaborating on the faculty member's 370 unique service experiences or obligations. 371 372 Professional activities portfolio (if applicable): A comprehensive portfolio of 373 professional or consulting activities related to their discipline. 374 375 • List of reviewers (if applicable): A list of qualified internal and/or external reviewers 376 provided by the bargaining unit faculty member. Normally, external reviews are not 377 expected for those in the research assistant ranks. 378 379 Section 25. Waiver of Access to Materials. Bargaining unit faculty members may choose to 380 waive in advance in writing their access to see any or all of the evaluative materials. Such 381 waivers, however, shall not preclude the use of redacted versions of these documents in a denial 382 383 review process. The redacted versions are intended to protect the identity of the reviewer. If redactions are insufficient to do so, the University may prepare a suitable summary. A waiver 384 will be included in the promotion file. 385 386 Section 26. Notice of Meetings. A bargaining unit faculty member will receive at least three 387 business days' notice of any meeting or hearing which the member is invited or required to 388 attend, with a dean, vice provost, or the Office of the Provost regarding recommendations or 389 decisions on promotion. The bargaining unit faculty member may have a colleague or Union 390 representative present at the meeting as an observer. 391 392 Section 27. Evaluation file. The promotion review file should generally include the following 393 394 information: 395 396 • Statement of duties and responsibilities • Curriculum vitae 397 • Conditions of appointment 398 • Criteria for promotion 399 • Personal statement 400 • Supervisors' letters of evaluation 401 • Professional activities portfolio (if applicable) 402 • Scholarship portfolio (if applicable) 403 Service portfolio (if applicable) • 404 Internal and/or external reviews (if applicable) 405 • Department, unit, center, or institute head's recommendation • 406 • Vice president's, dean's, or director's recommendation 407 Waiver of access to materials (if applicable) 408 • 409 Section 28. Review by Department Head or Unit Director or Manager. The department or 410 411 unit head or designee should solicit any internal and/or external reviews, as applicable. The department or unit head will then review the file, including any internal or external reviews, and 412 prepare a recommendation and an explanation of the merits of the promotion case. The file will 413 414 then be sent to the appropriate vice president, vice provost, or dean for review. In the event that
- the unit head is the faculty member's supervisor/director/manager, the supervisor letter of

416 evaluation and the unit head review may be combined into a single recommendation. 417 Section 29. Review by Vice President, Vice Provost, Dean, or Director. The vice president or 418 419 dean, as appropriate, will review the file, and may consult with appropriate persons and may ask for and document additional non-confidential information. Once the vice president or dean 420 deems the file complete, they will prepare a separate memorandum with a recommendation. 421 422 If the vice president, vice provost, dean, or director's assessment differs from that of the 423 department or unit committee or the department or unit head, the memorandum and 424 recommendation will provide an explanation of the reasons underlying their judgment. 425 426 427 The vice president, vice provost, dean, or director will share their memorandum and recommendation with the candidate and notify the candidate that they may provide responsive 428 material for the file within 10 days from the date of receipt of the memorandum. This response 429 shall be included in the evaluation file. The vice president, vice provost, dean, or director then 430 will submit the complete evaluation file to the Office of the Provost. 431 432 Section 30. Review by the Office of the Provost. By June 1, the Office of the Provost will 433 review the file, with appropriate input, and decide whether to grant or deny promotion. The 434 435 candidate will be notified of the decision in writing. If the Provost decides the review is unsuccessful, the decision letter will contain an explanation of the reasons underlying their 436 decision and any determinations that differ from the previous review levels. In the case of a 437 decision to deny promotion that is contrary to a recommendation of a vice president, vice 438 provost, dean, or director, the decision letter of the Office of the Provost shall include a detailed 439 explanation of reasons for rejecting the recommendation, which shall identify any assessments of 440 441 merit and representations of fact contained in the recommendation with which the Office of the Provost disagrees. 442 443 Section 31. Assumption of New Rank. Successful candidates for promotion will assume their 444 new rank beginning with the fiscal year following notification of their promotion, or other date 445 as approved, by the Office of the Provost, whichever comes first. 446 447 448 **Career Continuous Employment Reviews** 449 450 451 Section 32. Purpose. The primary function of Career Continuous Employment Reviews is to foster continued professional growth and reward excellence. Career Continuous Employment 452 Reviews are optional. 453 454 Section 33. Eligibility. To be eligible for a Career Continuous Employment Review, a 455 bargaining member must satisfy the following: 456 a. Must have a Career appointment in a single-rank category or at the highest rank in their 457 458 category; and, b. Must have an annualized 0.5 FTE or greater; and, 459

- 460 c. Must have accumulated six years of service at 0.5 FTE or greater, as measured from their
 461 appointment in the single-rank category, since achieving promotion to the highest rank in
 462 their category, or since their most recent Career Continuous Employment Review.
- 463

464 Section 34. Process. To initiate the Career Continuous Employment Review, the eligible

bargaining unit faculty member must notify their department or unit head consistent with Section

466 14 or 24, as appropriate. Continuous employment reviews for Career faculty will generally
 467 mirror the scope and process for Career instructional or Career research promotion reviews to the

highest rank. If the final 'Review by the Office of the Provost' (Section 20 or 30 equivalent) in a

469 Continuous Employment Review determines that the bargaining unit faculty member's

- 470 performance in all categories meets or exceeds expectations, the bargaining unit faculty member
- 471 will receive an increase to their base salary per Article 26. Senior Instructor IIs and Senior
- 472 Lecturer IIs that exceed expectations in all categories on a Career Continuous Employment
- 473 Review may be nominated by their dean to be considered by the Provost for the Distinguished

474 Teaching Professor award under Appendix 4.